## NM REGULATION AND LICENSING DEPARTMENT ALCOHOL AND GAMING DIVISION

TONEY ANAYA BUILDING PO BOX 25101
SANTA FE, NM 87505-5101
2550 CERRILLOS ROAD, $2^{\text {ND }}$ FLOOR
SANTA FE, NM 87505
PH: (505) 476-4875 FAX: (505) 476-4595
WWW.RLD.STATE.NM.US/ALCOHOLANDGAMING


LEASE LIQUOR LICENSE APPLICATION

## GENERAL COMMENTS FOR APPLICATION PROCESSING

The Alcohol and Gaming Division's goal is to process your application within 60 to 90 days. We believe that actively working with applicants offers the best opportunity to achieve our goal. Read the general comments for application processing, instructions for filling out the application and resident agent form, and thoroughly review the application packet before submitting your application. This will provide answers to questions often asked by applicants. Please note that all deposited fees are non-refundable.

## Application Receipt and Uptake

When your substantially complete application is received (a Food Establishment permit and the license fee will be required for final approval), our first action will be to schedule the preliminary hearing and to post the notice of your application. The controlling statute requires that the notice be posted for 20 consecutive days prior to preliminary approval of the application and that the preliminary hearing be held within 30 days of receipt of your application. A Notice of hearing will be sent to you advising of the date and time of your preliminary hearing, and the name of the Hearing Officer assigned to your application (hearings may be conducted in person or by telephone). The applicant is required to publish notice of the hearing in a local newspaper of general circulation. The Hearing Officer will provide the applicant with instruction on how to accomplish this requirement. Failure to publish notice of the hearing and to provide proof of publication to your Hearing Officer will result in the cancellation and rescheduling of your preliminary hearing. Proof of publication must be received 48 hours prior to the hearing date.

## Preliminary Review

After your hearing has been scheduled, the Hearing Officer will review your application and may prepare and forward a Notice of Deficient Documents listing the items necessary to correct or complete your application. The listed items in the Notice of Deficient Documents should be provided prior to the preliminary hearing.

## Preliminary Hearing

After your hearing, any remaining items should be completed and submitted within 10 days to avoid any delays in processing your application. The Hearing Officer will prepare a "Hearing Officer's Recommendation" in support of a recommendation to the Division Director. If the application is not completed in a timely manner, the application may be canceled. Once the Director has reviewed the application and the Recommendation, if all is correct, your application will be forwarded to the local governing body in which you propose to conduct business. The local governing body is then required to publish notice of a hearing at the local governing body and to conduct a public hearing before the local governing body within 45 days of receipt. After your hearing with the local governing body, that governing body has 30 days to provide us with the signed documentation.

## Restaurant License Requirements

When applying for Restaurant (Beer and Wine) license, a copy of the menu must be submitted with your application. The menu MUST meet the requirements of the Liquor Control Act. A license cannot be issued to an establishment serving only soups, salads, sandwiches and other fast foods. Only a full service restaurant serving full meals/entrees will qualify for this type of license. In addition, the applicant must have a kitchen and the employees necessary for preparing, cooking and serving meals. Pictures of your establishment are required. Alcohol sales should be accompanied by food. To qualify for a Restaurant License, at least $60 \%$ of the gross receipts must come from the sale of food and no more than $40 \%$ may come from the sale of alcohol. A Restaurant License is non-transferrable from person to person or from location to location.

## Local Option Requirements

Make sure that the local option district where the restaurant is located has had an election for Restaurant (Beer and Wine) Licenses, and that it was passed, before you submit an application fee. If you are unsure, contact our office at (505) 476-4875.

If you want to overnight your application, not using the postal service, send it to the Alcohol and Gaming Division At 2550 Cerrillos Road, Santa Fe, NM 87505-5101. Please keep a copy of the application for your records.

If you have any questions, please contact us at (505) 476-4875 or via the web at www.rld.state.us/alcoholandgaming
Thank you in advance for helping us to achieve our goal.

## INSTRUCTIONS FOR FILLING OUT LIQUOR LICENSE APPLICATION

1. The non-refundable application fee of $\$ 200.00$ ( $\$ 250.00$ for a Lease Application) must be enclosed or the application will be returned to you.
2. Pages 1,5 , and 6 of the application must be signed and notarized.
3. Page 1 and 2 , please check only the box that applies to the transaction that you are proposing.
4. Please note that all supporting documentation submitted must be in the name of the APPLICANT. If the applicant is a partnership, corporation, LLC or trust, the Tax Registration Certificate, Leases, Deeds, Bills of Sale, etc. must be in the name of that entity.
5. Restaurant (Beer \& Wine) Applicants: Make sure that the local option district where the restaurant is located has had an election for Restaurant (Beer \& Wine) Licenses, and that it was passed, before you submit an application fee. If you are unsure, please contact our office at (505) 476-4875. When applying for Restaurant (Beer \& Wine) license, a copy of the menu must be submitted with your application. The menu MUST meet the requirements of the Liquor Control Act. A license cannot be issued to an establishment serving only soups, salads, sandwiches, pizza and other fast foods. Only a full service restaurant serving full meals/entrees will qualify for this type of license. In addition, the applicant must have a kitchen and the employees necessary for preparing, cooking and serving meals. No bar areas will be approved, with the exception of a service bar (for wait staff to prepare the beverages for delivery to the tables), all food and drinks must be delivered to the individual tables by wait staff. Counter service is not permitted.
6. If applying for a Transfer of Ownership or Transfer of Ownership and Location (from the liquor license owner's location to the Applicant's location), an Instrument of Conveyance (Bill of Sale, Assignment, Lease, etc.), proof of Tenancy (lease or deed) and a tax clearance from the Department of Taxation \& Revenue are required.
7. Each individual, officer, Director, and each shareholder who owns a $10 \%$ interest or more, applying for license must complete the Personal Data Information and Affidavit Form.
8. Each individual, officer, Director, and each shareholder who owns a $10 \%$ interest or more must be fingerprinted. Fingerprints must be on Alcohol and Gaming Division coded fingerprint cards only. To obtain those cards, please contact AGD at (505) 476-4875 and they will be mailed to you, or, you may come into our offices located at the Toney Anaya Building, 2550 Cerrillos Road, Second Floor, Santa Fe, New Mexico. Fingerprinting officer or approved agency must complete both cards with the date, their signature, your address, sex, race, height, weight, color of eyes and hair, date of birth, place of birth, height, weight, and social security number. You must sign both cards and if known supply the application number, or license number and type. Note that persons who have been convicted of a felony or of two misdemeanor violations of the Liquor Control Act in any calendar year may not hold a liquor license or any interest in a liquor license in the State of New Mexico unless the person is restored to the privilege of holding a license by governor.
9. Applicants unable to do Livescan fingerprints with Cogent must attach a separate $\$ 44.00$ money order for each person with a set of two (2) fingerprint cards (fees cannot be combined into one check when submitting fingerprints for more than one person), payable to the $\mathbf{3} \mathbf{M}$ Cogent and attach these cards to the fingerprint certificate for law enforcement or AGD approved agency and the fingerprint affidavit form.
10. If you want to over night your application package, not using the postal service, it should be sent to the Alcohol and Gaming Division at 2550 Cerrillos Road, Santa Fe, NM 87505.

## SUBMIT THE FOLLOWING DOCUMENTATION

1. Tax Registration Certificate - A copy of the New Mexico Tax Registration Certificate (CRS Identification Number) in the name of the Applicant issued by the New Mexico Taxation \& Revenue Department. Applicants may obtain this documentation at any field office. For further information, please call (505) 827-0700.
2. Proof of Tenancy (Lease or Warranty Deed) - A complete copy of the fully executed Lease Agreement or Warranty Deed for the premise in the name of the Applicant.
a. The Lease Agreement must be signed by both parties (Lessor and Lessee). All Exhibits, Addendums to Lease Agreement, Amendments to Lease Agreement, or Subleases must accompany the Lease Agreement.
b. The Warranty Deed must be a filed and recorded copy.
3. Zoning Statement - A copy of the Zoning Statement for the proposed premise issued by the Local Governing Body's Planning \& Zoning Department (on letterhead). Please contact your city or county clerk for information regarding telephone numbers or addresses for the zoning office in your area. The Zoning Statement must include the following:
a. The complete physical address of the proposed premise.
b. Zoning (example: C-1, Commercial).
c. The statement regarding the permitted use for the type of liquor license being applied for (example: the on-premise consumption of alcohol is a permitted use within this zone).
4. Floor Plan - A copy of the detailed floor plan for the proposed premise showing the entrances/exits, kitchen, dining room, storage, sale, service, and consumption areas. All areas must be completely labeled. Please submit the floor plan on an $81 / 2 \times 11$ sheet of paper for each floor. The floor plan may be hand drawn or may be a more detailed drawing from an architect. Please DO NOT submit blueprints.
a. Need to indicate which direction is North.
b. Need to show the location of the main street in relation to the licensed premises.
c. Need to indicate the total square footage including patios (example: 2500 square feet).
d. If there are any patios or outside dining areas, indicate how they are permanently enclosed to prevent alcohol from leaving the premises. Need to indicate the type and height of enclosure (example: 6 feet adobe wall). You will also need to supply photos of the enclosure.
5. Surveyor's Certificate - A certified copy of the Surveyor's Certificate (Plat) if the proposed premises is between 300 feet and 400 feet of the nearest church or school. The Surveyor's Certificate (Plat) should show the measurement from the nearest point of the proposed premises to the nearest point of the church or school property line. All measurements should be taken by shortest direct line.
6. Waiver - A copy of the approved Waiver from the Local Governing Body (on letterhead) if the proposed premises is within 300 feet of the nearest church or school.

## Partnership

1. Partnership Agreement - A complete and fully executed Partnership Agreement.
2. Certificate of Partnership - A Certificate of Partnership issued by the Secretary of State’s Office (if applicable).

## Corporation

. Certificate of Incorporation - A copy of the Certificate of Incorporation.
2. Articles of Incorporation - A filed copy of the Articles of Incorporation and any amendments thereto.
3. Certificate of Good Standing - A copy of the Certificate of Good Standing. The Division will obtain this document from the Public Regulation Commission. The corporation MUST be in good standing.
4. Certificate of Authority - A copy of the Certificate of Authority must be submitted for all Foreign Profit Corporations (out-of-state).

## Limited Liability Company

1. Certificate of Organization - A copy of the Certificate of Organization.
2. Articles of Organization - A filed copy of the Articles of Organization and any amendments thereto.
3. Operating Agreement - A complete and fully executed Operating Agreement listing all members and managers including percentages of interest owned by each and any amendments thereto.
4. Certificate of Authority - A copy of the Certificate of Authority must be submitted for all Foreign Profit Companies (out-of-state).

Full disclosure totaling $100 \%$ is required on all of the preceding forms. Each individual, officer, director, member, manager, partner and each shareholder applying for license must complete the Personal Data Information and Affidavit Form.

Each individual, officer, director, member, manager, partner and each shareholder who owns a $10 \%$ interest or more must be fingerprinted. Fingerprints that cannot be done by Livescan with Cogent, must be on Alcohol and Gaming Division coded fingerprint cards only. To obtain those cards, contact AGD at (505) 476-4875 and they will be mailed to you or you may come into our offices located at the Toney Anaya Building, 2550 Cerrillos Road, Second Floor, Santa Fe, New Mexico. Complete each card with signature, address, sex, race, height, weight, color of eyes and hair, date of birth, place of birth, citizenship, social security number, application number, or license number and type. Note that persons who have been convicted of a felony or of two misdemeanor violations of the Liquor Control Act in any calendar year may not hold a liquor license or any interest in a liquor license in the State of New Mexico unless the person is restored to the privilege of holding a license by the governor.

Applicants unable to do Livescan with Cogent, must attach a separate $\$ 44.00$ money order with each set of two (2) fingerprint cards (fees cannot be combined into one check when submitting more than one set of cards), payable to the $\mathbf{3} \mathbf{M}$ Cogent and attach these cards to the application form. No personal or business checks accepted.

THE DIRECTOR MAY REQUIRE ADDITIONAL INFORMATION AS NEEDED TO COMPLETE THE APPLICATION.

New Mexico Regulation and Licensing Department - Alcohol and Gaming Division
PO Box 25101 • Santa Fe, New Mexico 87505-5101 • (505) 476-4875 • Fax (505) 476-4595 * www.rld.state.nm.us/alcoholandgaming $11 / 13$

INSTRUCTIONS FOR FILLING OUT THE RESIDENT AGENT PAGE

As part of the application, an Applicant who is not a sole proprietor is required to submit information regarding a New Mexico resident, who is not a felon, who has the power and authority to make decisions related to liquor sales and operations and upon whom the director may serve any notice related to the operation of the license.
2. The Resident Agent form must be completed, signed, and notarized in two places.

- The first section, the Appointment section, is to be completed and signed by an officer, director or a shareholder, holding a $10 \%$ interest or more, who has been fingerprint qualified. This signature must be notarized. In this section, the applicant will list the name of the chosen Resident Agent.
- The second section, the Acceptance section, must be completed and signed by the individual who has been designated as the Resident Agent by the Applicant. The signature of the Resident Agent must also be notarized.

3. The individual designated as Resident Agent must complete a Personal Information and Affidavit Form. Note: All entities must file a new application for Resident Agent each time there is a change in agents.
4. Each resident agent must be fingerprinted. Fingerprints that cannot be done by Livescan with Cogent, must be on Alcohol and Gaming Division coded fingerprint cards only. To obtain those cards, please contact AGD at (505) 476-4875 and they will be mailed to you, or, you may come into our offices located at the Toney Anaya Building, 2550 Cerrillos Road, Second Floor, Santa Fe, New Mexico. Complete the card with signature, address, sex, race, height, weight, color of eyes and hair, date of birth, place of birth, citizenship, social security number, application number, or license number and type.
5. Applicants unable to do Livescan with Cogent, must attach a separate $\$ 44.00$ money order with each set of two (2) fingerprint cards (fees cannot be combined into one check when submitting more than one set of cards), payable to $3 \mathbf{M}$ Cogent and attach these cards to the application form. No personal or business checks accepted.
6. A Resident Agent must be an individual, at least 21 years of age, and at time of application, must be a resident of the State of New Mexico and remain a resident of New Mexico. A Resident Agent cannot have been convicted of a felony or of two misdemeanor violations of the Liquor Control Act in any calendar year.
7. A Resident Agent must hold current servers certification. A copy of the server's certification is required with the resident agent application.

See FBI Notice for all fingerprints submitted to this agency - Applicant Notification and Record Challenge
Officials at the governmental institutions and other entities authorized to submit fingerprints and receive FBI identification records under this authority must notify the individuals fingerprinted that the fingerprints will be used to check the criminal history records of the FBI. The officials making the determination of suitability for licensing or employment shall provide the applicants the opportunity to complete, or challenge the accuracy of, the information contained in the FBI identification record. These officials also must advise the applicants that procedures for obtaining a change, correction, or updating of an FBI identification record are set forth in Title 28, C.F.R., § 16.34 . Officials making such determinations should not deny the license or employment based on information in the record until the applicant has been afforded a reasonable time to correct or complete the record, or has declined to do so. (Title 42, U.S.C., §14616, Article IV (c); Title 28 C.F.R., § 50.12 (b))

## LEASE APPLICATION

Application fee - \$250.00 Fees are non-refundable.
State Liquor License \# $\qquad$ Record Owner of Existing License $\qquad$
Application Number $\qquad$ Local option (AGD use) $\qquad$
Current D/B/A Name
Current Premises Address $\qquad$

Applicant is: Individual $\qquad$ Corporation $\qquad$ Partnership (General or Limited) $\qquad$ Limited Liability Company $\qquad$

## ADDRESS (including city, state, zip)

## TELEPHONE NUMBER

## D/B/A name to be used

$\qquad$ Phone number for licensed premises: $\qquad$

## Physical location where license is to be used:

$\qquad$
(Include street number / highway number / state road, city and county, state, and zip code)

## Mailing address:

$\qquad$
Are alcoholic beverages currently being dispensed at the proposed location? Yes $\qquad$ No $\qquad$ If yes, give license number and type $\qquad$
I, (print name) $\qquad$ as (title) $\qquad$ being first duly sworn upon oath deposes and says: that he/she is the applicant or is authorized by the applicant to make this application; that he/she has read the same; knows the contents therein contained are true. Applicant(s) agree(s) that if any statements or representations herein are found to be false, the director may refuse to issue or renew the license or may cause the license to be revoked at any time.

## You must sign and date this form in the presence of a notary public.

Signature of Appplicant


## For Alcohol and Gaming Division Use Only

$\qquad$ Disapproved $\qquad$ Director Approval $\qquad$ Date $\qquad$

## PREMISES LOCATION, OWNERSHIP, AND DESCRIPTION

## SS-60-6B-10

1. The land and building which is proposed to be the licensed premises is (check one):

Owned by Applicant ___ Leased by Applicant (attach copy of deed or lease) $\qquad$ Other (provide details)
2. If the land and building are not owned by Applicant, indicate the following:
A. Owner(s)
B. Date and term of lease
3. Premises location is zoned (example C-1)

If the premises is zoned, attach zoning statement from local government giving location address and type of zone, stating whether alcoholic beverages are allowed at proposed location. If there is no zoning, attach confirmation from local government indicating there is no zoning.
4. Distance from nearest church *(Property line of church to licensed premises-shortest distance).

Miles/feet $\qquad$ Name of church $\qquad$ Address/location of church $\qquad$
5. Distance from nearest school *(Property line of school to licensed premises-shortest distance).

Miles/feet $\qquad$ Name of school $\qquad$ Address/location of school $\qquad$
6. Distance from military installation *(Property line of military installation to licensed premises-shortest distance.)

Miles $\qquad$ Name of Military Installation, circle one: Kirtland Air Force Base (Albuquerque), White Sands Missile Range (Las Cruces), Holloman Air Force Base (Alamogordo), Cannon Air Force Base (Clovis).
7. Attach, on a separate sheet, the detailed floor plan for each level (floor) where alcoholic beverages will be sold or consumed. Show exterior walls, doors, and interior walls. This will be the licensed premises. The floor plan should be no larger than $81 / 2 \times 11$ inches, and must include the total square footage of premises.
*If the distance is beyond 300 feet, but less than 400 feet, a registered engineer or licensed surveyor must complete a Survey Certificate showing the exact distance.
8. Type of Operation:

Lounge $\qquad$ Restaurant $\qquad$ Package Grocery $\qquad$ Racetrack $\qquad$ Hotel $\qquad$ Other (specify) $\qquad$

## LIMITED PARTNERSHIP OR GENERAL PARTNERSHIP INFORMATION SHEET

SS 60-6B-2(A)(b)

1. Name of Limited Partnership or General Partnership $\qquad$
2. Date Partnership formed (attach copy of Partnership Agreement) $\qquad$ 1 $\qquad$ 1
3. Date Partnership registered (attach copy of certificate) $\qquad$ 1 1
4. Mailing address: Street $\qquad$ City $\qquad$ State $\qquad$ Zip $\qquad$ Phone $\qquad$
5. Names and addresses of all General and Limited Partners-full disclosure is required. If General Partner or Limited Partner is a corporation, LLC, Trust or other General or Limited Partnership, complete the appropriate entity information page.

## General Partners

Name Address $\quad$ Interest

|  | Limited Partners |
| :--- | :---: |
| Name Address |  |

$\qquad$
6. Has this Partnership ever had a liquor license in which it held any interest in any State suspended or revoked, or has the Partnership been denied the issuance of a liquor license? No $\qquad$ Yes $\qquad$ If Yes, provide details:
7. List every liquor license in which this Partnership owns any interest, direct or indirect:

NOTE: For each individual General or Limited Partner, submit a complete Personal Data Information Form (page 6), and two (2) complete fingerprints cards (cards must be obtained from the Alcohol and Gaming Division) along with fee and supporting documentation. Fingerprints must be taken by City Police, State Police, Sheriff's Office (any state), or AGD approved agency.

Return this form to the Alcohol and Gaming Division, PO Box 25101, Santa Fe, New Mexico 87505-5101.

## LIMITED LIABILITY COMPANY

SS 60-6B-2A

1. Name of Limited Liability Company
2. Date company formed (attach copy of Operating Agreement) $\qquad$
$\qquad$ 1
$\qquad$
3. Date company registered (attach copy of certificate and Article of Organization)_____ 4. Mailing Address: Street $\qquad$ City $\qquad$ State $\qquad$ Zip $\qquad$ Phone $\qquad$
4. Names and addresses of all Members - full disclosure is required. If a Member is a corporation, Trust, Limited Liability Company, General or Limited Partnership, complete the appropriate entity information page.

## LIST ALL MEMBERS AND MANAGERS

| Name $\quad$ Title | Address | $\%$ of interest/contribution |
| :--- | :--- | :--- |

$\qquad$
$\qquad$
$\qquad$
$\qquad$
6. Has this Limited Liability Company ever had a liquor license in which it held any interest in any State suspended or revoked, or has the Limited Liability Company been denied the issuance of a liquor license? No $\qquad$ Yes $\qquad$ If Yes, provide details:
7. List every liquor license in which this Limited Liability Company owns any interest, direct or indirect:

NOTE: For each individual Member, submit a complete Personal Data Information Form (page 6), and two (2) complete fingerprints cards (cards must be obtained from the Alcohol and Gaming Division) along with fee and supporting documentation. Fingerprints must be taken by City Police, State Police, Sheriff's Office (any state), or AGD approved agency.

## TRUST

1. Name of Trust $\qquad$
2. Date Trust formed (attach copy of entire Trust Agreement) $\qquad$ 1 $\qquad$
3. Mailing Address: Street $\qquad$ City $\qquad$ State $\qquad$ Zip $\qquad$ Phone $\qquad$
4. Names and addresses of all Trustees and each Beneficiary of the Trust - full disclosure is required. If a Trustee or Beneficiary is a corporation, Limited Liability Company or a General or Limited Partnership, complete the appropriate corporation information on Page 4, or appropriate Partnership Statement (Page 3).

## LIST ALL TRUSTEES AND BENEFICIARIES

Name $\quad$ Title $\quad$ Address $\quad$ \% of interest
$\qquad$
$\qquad$
$\qquad$
5. Has this Trust ever had a liquor license in which it held any interest in any State suspended or revoked, or has the Trust been denied the issuance of a liquor license? No $\qquad$ Yes $\qquad$ If Yes, provide details:

## 6. List every liquor license in which this Trust owns any interest, direct or indirect

## CORPORATION

## SS 60-6B-2

Name of Corporation: $\qquad$
(Corporations must attach certified copy of its Certificate of Incorporation and Articles of Incorporation. Foreign corporations must also include a certified copy of its New Mexico certificate of authority.)
Date of Incorporation: $\qquad$ In what State? $\qquad$
Mailing Address of Corporate Office: $\qquad$ City $\qquad$ State $\qquad$ Zip $\qquad$ Phone $\qquad$
Provide complete names and addresses of all officers and directors of the Corporation, also the names and addresses of all stockholders of $10 \%$ or more of the stock in the Corporation. If a stockholder of $10 \%$ or more of the stock is any other legal entity, complete the appropriate disclosure page for the stockholding entity.

Name and Title of Officers, Directors and Stockholders
Complete Address
\% Stock Held
$\qquad$
$\qquad$
$\qquad$
$\qquad$

## USE ADDITIONAL PAGES IF NECESSARY.

Has this Corporation ever had a liquor license in which it held any interest in any State suspended or revoked, or has the Corporation been denied the issuance of a liquor license? No $\qquad$ Yes $\qquad$ If Yes, provide details:

List every liquor license in which the Corporation holds any interest, direct or indirect: Has this Corporation ever been convicted of a felony? No__ Yes __ If Yes, provide details:

[^0]
## DESIGNATION OF RESIDENT AGENT

Fee $\mathbf{\$ 5 0}$. (Excludes non-profit organizations.)
Liquor License \# $\qquad$
Name of Corporation/LLC/Partnership/Trust (print) $\qquad$
D/B/A Name
KNOW ALL MEN BY THESE PRESENT that the company named on this form hereby makes, constitutes and appoints
to act as Resident Agent on behalf of the company and accept service of process for all purposes relating to the sales and service of the alcoholic beverages, including orders and notices of the Director and/or the Division, and to have power of attorney to exercise full authority, control, and responsibility for the conduct of all business transactions of the company within the State relative to the sale of alcoholic beverages under authority of this license. Please check the correct boxnitial or Replacing Resident Agent

You must sign and date this form in the presence of a notary public.Adding another Resident Agent

Signature of Officer of Corporation/LLC/Partnership/Trust $\qquad$ Title $\qquad$

## ACKNOWLEDGEMENT FOR COMPANY

State of $\qquad$ County of $\qquad$
SUBSCRIBED AND SWORN TO before me this $\qquad$ Day of $\qquad$ 20 $\qquad$ by (appointing officer print name) $\qquad$ Notary Public $\qquad$ nen My Commission Expires $\qquad$

## ACCEPTANCE

I, (print name) $\qquad$ hereby accept the appointment as Resident Agent. I am a resident of the State of New Mexico. I am the Resident
Agent for the following numbered New Mexico Liquor License(s): $\qquad$ , $\longrightarrow$, $\longrightarrow$, $\qquad$ , Residence Address: $\qquad$ City $\qquad$ State $\qquad$ Zip $\qquad$ Phone \# $\qquad$
You must sign and date this form in the presence of a notary public.
I certify that I am a resident of the state of New Mexico $\square$
Signature of Resident Agent $\qquad$ Expiration Date of Servers Certification
(Copy of permit required)
ACKNOWLEDGEMENT FOR NATURAL PERSONS
State of $\qquad$ County of $\qquad$
SUBSCRIBED AND SWORN TO before me this $\qquad$ Day of $\qquad$ 20 $\qquad$ by (accepting RA print name) $\qquad$
Notary Public $\qquad$ My Commission Expires $\qquad$

For Alcohol and Gaming Division Use Only
Approved $\qquad$ Disapproved $\qquad$ Director Approval $\qquad$ Date $\qquad$ Return this form to the Alcohol and Gaming Division, PO Box 25101, Santa Fe, New Mexico 87505-5101.

## PERSONAL DATA INFORMATION AND AFFIDAVIT

## Liquor License \#

$\qquad$ or Application \# $\qquad$
INSTRUCTIONS: Submit this page for each individual applicant, each officer and director of a corporation, each stockholder (individual) owning $10 \%$ or more of the stock in Applicant Corporation, each individual limited or general partner, and each resident agent for a corporation, and each manager and member of LLC with $10 \%$ or more interest. Make additional copies of this page if necessary.

Name (print) First $\qquad$ Last $\qquad$ Birth date: $\qquad$ 1 $\qquad$ Birthplace:

Business address: $\qquad$ Driver's license \# $\qquad$ State of issue $\qquad$ Citizenship $\qquad$ Business phone:
$\qquad$ $\square$ Female $-\square$ $\square$ No $\square$ Are you married?
YesNo $\square$ Has your spouse ever been convicted of a felony in any jurisdiction? If yes, provide details:
If you have been known by any other name, attach a list showing dates and reason for other name(s). (Example: maiden name). Attach additional pages if necessary.
Name
Date of change
Reason for Change

Yes $\square$ No $\square$ Have you been convicted of a felony? If yes, provide details:N/A $\square$ Has the Governor restored to you the privilege to receive and hold a liquor license? If yes, attach copy of documentation.
Yes $\square$ No Have you If yes, provide details:
Yes $\square$ No $\square$ Have you ever had an application for a liquor license denied or had a liquor license in which you held any interest in any state suspended or revoked? If yes, provide details:
Yes $\square$ No $\square$ Are you at least 21 years of age?
List every liquor license by number and State in which you directly or indirectly own any interest: $\qquad$

## Be sure that all questions have been answered.

## You must sign and date this form in the presence of a notary public.

I, (print name) $\qquad$ swear that the information contained on this form is true, and understand that if any information contained herein is false or found to be false, the Division may revoke the liquor license issued under this application.

Affiant Signature $\qquad$ Date $\qquad$


## SUNDAY SALES APPLICATION

Non-transferable
Indicate the type of license you are applying for. If applying for both, mark both:
Sunday Sales BY THE DRINK
$\qquad$ (Fee: $\$ 100.00$ ) Liquor License \# $\qquad$ Sunday Sales PACKAGE $\qquad$ (Fee: \$100.00) Application \# $\qquad$

1. Type of Liquor License applied for (print): $\qquad$
2. DBA Name (print):
3. Name of applicant:
4. Physical location of licensed premises.

5. Local Option District (city or county where license is located):

The holder of a license that allows sales of alcoholic beverages by the drink may obtain a permit for the sale, service, or consumption of alcoholic beverages by the drink on a licensed premises on Sundays, between the hours of 11:00 a.m. to 12:00 midnight (Restaurant License holders see ** below). Sunday Sales by the drink are only permitted in those local option districts in which Sunday Sales have been approved by the voter in the at local option district.

The holder of a license that allows package sales of alcoholic beverages for the off-premises consumption may obtain a permit for the sale of alcoholic beverages in unbroken packages for off-premises consumption on Sundays between the hours of 12:00 noon and 12:00 midnight in those local option districts that permit Sunday Package Sales.
**Hours for sale, service, or on premises consumption on Sunday for Restaurant License: 11:00 a.m. until 11:00 p.m. or until sales and service of food ceases, whichever is earlier.

Applicant/Licensee Signature $\qquad$ Date $\qquad$

For Alcohol and Gaming Division Use Only
$\qquad$ Date $\qquad$

# New Mexico Regulation and Licensing Department 

alcohol and Gaming Division
Toney Anaya Building • PO Box 25101 - Santa Fe, New Mexico 87505-5101
(505) 476-4875 - Fax (505) 476-4595 - www.rld.state.nm.us/alcoholandgaming

## STATEMENT OF DEBT CLEARANCE

Applicant for the transfer or lease of a liquor license must circulate this statement among all LIQUOR WHOLESALERS who have provided alcoholic beverages to the licensee and obtain certification that all debts owing have been paid or satisfactory arrangements for payment have been made.

## SUBMIT ORIGINALS ONLY. PHOTOCOPIES OF THESE PAGES ARE NOT ACCEPTABLE

## CERTIFICATION BY WHOLESALERS

It is certified that all debts owed by the owner of record or the lessee of Liquor License No. $\qquad$ have been paid or satisfactory arrangements for payment have been made and that in the interim period prior to issuance of a license to the new owner or lessee all sales made to this license will be on a cash basis only. It is further certified that any lien on this license has been satisfied.

| \#6820 | \#6823 | \#6824 |
| :---: | :---: | :---: |
| Tularosa Vineyards | La Chiripada Winery | Madison Vineyards \& Winery |
| 23 Coyote Canyon Road | P.O. Box 191 | HC 72 Box 490 |
| Tularosa, New Mexico 88352 | Dixon, New Mexico 87527 (505) 579-4437 | Dixon, New Mexico 87527 (505) 421-8028 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#6830 | \#6831 | \#6833 |
| La Vina Winery | Milagro Vineyards, Incorporated | Corrales Winery |
| 4201 South Highway 28 | P.O. Box 1205 | P.O. Box 527 |
| La Union, New Mexico 88021 | Corrales, New Mexico 87048 | Corrales, New Mexico 87048 |
| (505) 882-7632 | (505) 898-3998 | (505) 898-5165 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#6834 | \#6835 | \#6836 |
| Anazasi Fields | New Mexico Wineries, Inc. | Black Mesa Winery |
| P.O. Box 712 | P.O. Box 1180 | P.O. Box 308 |
| Placitas, New Mexico 87403 (505) 867-3062 | Deming, New Mexico 88030 (505) 546-9324 | Velarde, New Mexico 87582 (505) 852-2820 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |

STATEMENT OF DEBT CLEARANCE

| \#6839 | \#6844 | \#6845 |
| :---: | :---: | :---: |
| Ponderosa Valley Winery, Inc. | Wines of New Mexico, Inc. | Wines of the San Juan |
| 3171 Highway 290 | P.O. Box 1611 | 233 Highway 511 |
| Ponderosa, New Mexico 87044 | Ruidoso, New Mexico 88345 (505) 630-9003 | Blanco, New Mexico 87412 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#6851 | \#6852 | \#6854 |
| Luna Rossa Winery | Tierra Encantada Vineyards \& | Heart of The Desert Wines |
| P.O. Box 1507 | Winery | Eagle Ranch, 7288 Highway 54/70 |
| Deming, New Mexico 88030-1507 | 3560 Luck Circle NW | Alamogordo, New Mexico 88310 |
| (505) 546-7948 | Albuquerque, New Mexico 87107 | (505) 434-0035 |
| Print Name: | (505) 343-1956 | Print Name: |
| Signature: | Print Name: | Signature: |
| Date: | Signature: | Date: |
|  | Date: |  |
| \#6857 | \#6858 | \#6859 |
| Guadalupe Vineyards | Falcon Ventures, Inc. | Acequia Vineyards \& Winery |
| P.O. Box 400 | 3159 Plaza Blanca | P.O. Box 62 |
| San Fidel, New Mexico 87049 (505) 552-0082 | Santa FE, New Mexico 87507 (505) 438-0945 | Corrales, New Mexico 87048-0062 (505) 264-1656 |
| Print Name: | Pri | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#6862 | \#6863 | \#6864 |
| Pecos Flavors Winery | Casa Rondena Winery | Don Quixote Winery |
| 305 North Main | 733 Chavez Road NW | 236 Rio Bravo |
| Roswell, New Mexico 88201 | Albuquerque, New Mexico 87107 | Los Alamos, New Mexico 87544 |
| (575) 627-6265 | (505) 344-5911 | (505) 672-3581 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#6865 | \#6866 | \#6867 |
| San Tomas Vineyards / Pistachio | Casa Abril Vineyards \& Winery | La Esperanza Vineyard, LLC |
| 7320 US Highway 54-70 | 01 Camino Abril | HC 71 Box 1377 |
| Alamogordo, New Mexico 88310 (575) 437-0602 | Algodones, New Mexico 87001 (505) 771-0696 | San Lorenzo, New Mexico 88041 (575) 259-9523 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |

STATEMENT OF DEBT CLEARANCE


STATEMENT OF DEBT CLEARANCE
New Mexico Regulation and Licensing Department - Alcohol and Gaming Division PO Box 25101 • Santa Fe, New Mexico 87505-5101 • (505) 476-4875 - Fax (505) 476-4595

| \#7099 | \#7100 | \#7108 |
| :---: | :---: | :---: |
| Premier Distributing Co. | Premier Distributing Co. Santa Fe | Premier Distributing, Co. Gallup |
| Albuquerque | 2680 Sawmill Road | 3524 Sonastee |
| 4321 Yale Blvd., N.E. | Santa Fe, New Mexico 87505 | Gallup, New Mexico 87107 |
| Albuquerque, New Mexico 87107 | (505) 473-0595 | (505) 863-6708 |
| (505) 344-0287 <br> Print Name: $\qquad$ | Print Name: | Print Name: |
|  | Signature: | Signature: |
| Signatur | Date: | Date: |
| Date: |  |  |
| \#7109 <br> Premier Distributing Co. <br> 1200 Troy King Road <br> Farmington, New Mexico 87401 <br> (505) 327-6371 <br> Print Name: $\qquad$ | \#7110 | \#7119 |
|  | Synergy Fine Wines | Santa Fe Brewing Company |
|  | 149 Mountain Park Place, NW Unit | P.O. Box 29773 |
|  |  | Santa Fe, New Mexico 87592-9773 |
|  | Albuquerque, New Mexico 87102 | (505) 424-3333 |
|  | (505) 792-9730 | Print Name: |
| Signature: | Print Name: | Signature: |
| Date: | Signature: | Date: |
|  | Date: |  |
|  | \#7126 | \#7127 |
| Fiasco Fine Wine Inc. | Tractor Brewing Company | Marble Distributing |
| 20 Bisbee Court | 120 Nelson Lane, Unit C | 4056 Cerrillos Road, Suite F-6 |
| Santa Fe, New Mexico 87508 (505) 438-2500 | Los Lunas, New Mexico, 87031 | Santa Fe, New Mexico 87507 |
| (505) 438-2500 | (505) 866-0477 | (505) 424-1882 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7129 | \#7138 | \#7139 |
| L \& F Distributors | Floating World, Inc | Premier Distributing Company |
| 2200 North Atkinson Avenue | 320 Tesuque Drive | 380 Alliance Drive |
| Roswell, New Mexico 88201 <br> (575) 622-0380 | Santa Fe, New Mexico 87505 (505) 989-4104 | Las Cruces, New Mexico 88005 (505) 344-0287 (ABQ) |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |

STATEMENT OF DEBT CLEARANCE

| \#7141 | \#7142 | \#7143 |
| :---: | :---: | :---: |
| Admiral Beverage Corporation | Admiral Beverage Corporation | Admiral Beverage Corporation |
| 1018 South Atkinson | 6000 South Main Street | 7 Paseo de River |
| Roswell, New Mexico 88203 (505) 243-2293 | Mesilla Park, New Mexico 88047 (505) 243-2293 | Santa Fe, New Mexico 87507 (505) 243-2293 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7144 | \#7145 | \#7146 |
| Admiral Beverage Corporation | Admiral Beverage Corporation | Comanche Creek Brewing |
| 1801 East Broadway | 701 Comanche NE | PO Box 375 |
| Farmington, New Mexico 87401 (505) 243-2293 | Albuquerque, New Mexico 87107 (505) 243-2293 | Eagle Nest, New Mexico 87718 (575) 377-2337 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7147 | \#7150 | \#7152 |
| The Way of Sake | Premier Beverage | Favorite Brands |
| 207 A North Guadalupe Street | 4321 Yale NE | 2500 Solano Drive NE |
| Santa Fe, New Mexico 87501 (505) 231-2743 | Albuquerque, New Mexico 87107 (505) 344-0287 | Albuquerque, New Mexico 87110 (505) 883-9248 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7156 | \#7157 | \#7158 |
| Jag Distribution Company | Allison's Imports, LLC | Taos Mesa Brewing |
| 815 Bellamah Avenue | 11405 Canyonlands Road SE | 20 ABC Mesa Road |
| Albuquerque, New Mexico 87104 (505) 242-2292 | Albuquerque, New Mexico 87123 (505) 480-8643 | El Prado, New Mexico 87529 (575) 613-4722 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |

STATEMENT OF DEBT CLEARANCE
New Mexico Regulation and Licensing Department - Alcohol and Gaming Division PO Box 25101 • Santa Fe, New Mexico 87505-5101 • (505) 476-4875 - Fax (505) 476-4595

| \#7159 | \#7160 | \#7161 |
| :---: | :---: | :---: |
| Vingarage | Southwest Wines \& Spirits, LLC | KGB Spirits |
| 17 Bisbee Court Bldg 3 Unit H | 3832 Hawkins Street NE | 36 Bisbee Court Unit B |
| Santa Fe, New Mexico 87508 | Albuquerque, New Mexico 87109 | Santa Fe, New Mexico 87508 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7162 | \#7163 | \#7164 |
| New Mexico Craft Brewing | Johnson Brothers of New Mexico | La Cumbre Brewing Co. |
| 1212 Railroad Avenue | 1340 Broadway Avenue NE | 3313 Girard Blvd, NE |
| Las Vegas, New Mexico 87701 | Albuquerque, New Mexico 87102 | Albuquerque, New Mexico 87107 |
| (505) 850-6839 | (505) 247-4246 | (505) 872-0275 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#7165 | \#7166 | \#7167 |
| Santa Fe Boutique Wines, LLC | Duel Brewing | Admiral Beverage Corporation |
| 1517 Third Street | 1228 Parkway Drive Units C \& D | 3980 Prince Street SE |
| Santa Fe, New Mexico 87505 | Santa Fe, New Mexico 87507 | Albuquerque, New Mexico 87105 |
| (505) 690-9918 | (505) 474-5301 | (505) 243-2293 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |
| \#70001 | \#70002 | \#70003 |
| Road Runner Beverage | Bosque Brewing Co | Southwest Wines \& Spirtis |
| Distributors, LLC | 8900 San Mateo Blvd, NE Suite 1 | Albuquerque |
| 4801 San Mateo Blvd, NE | Albuquerque, New Mexico 87113 | PO Box 2247 |
| Albuquerque, New Mexico 87109 | (505) 433-3889 | Corrales, New Mexico 87048 |
| (202) 509-4000 | Print Name: | (505) 977-4677 |
| Print Name: | Signature: | Print Name: |
| Signature: |  | Signature: |
| Date: |  | Date: |
| \#70004 | \#70005 | \#70006 |
| Core-Mark | Cottonwood Wine and Brewing | Santa Fe Cider Works |
| $56002^{\text {nd }}$ Street NW Suite D | 1 East Cottonwood Road | 1311 Acequia Borrada |
| Albuquerque, New Mexico 87199 (505) $343-9577$ | Artesia, New Mexico 88210 (575) 308-1567 | Santa Fe, New Mexico 87507 (505) 310-3213 |
| Print Name: | Print Name: | Print Name: |
| Signature: | Signature: | Signature: |
| Date: | Date: | Date: |

STATEMENT OF DEBT CLEARANCE
New Mexico Regulation and Licensing Department - Alcohol and Gaming Division PO Box 25101 • Santa Fe, New Mexico 87505-5101 • (505) 476-4875 - Fax (505) 476-4595


## CERTIFICATION OF CURRENT LICENSE OWNER OR AGENT

The undersigned certifies that the following wholesalers are the only wholesalers from whom alcoholic beverages were purchased.

PRINT NAME OF CURRENT LICENSEE OR APPROVED AGENT: $\qquad$
SIGNATURE: $\qquad$ DATE: $\qquad$


[^0]:    ** Note: For each individual applicant, partner, officer, director, and stockholder of $10 \%$ or more of stock in corporation, submit a complete Personal Data Information Form (page 6) and attach two (2) complete fingerprint cards (cards must be obtained from the Alcohol and Gaming Division) along with fee and supporting documentation. Fingerprints must be taken by City Police, State Police, Sheriff's Office (any state), or AGD approved agency.

